

JUSTICE AND PUBLIC SAFETY CABINET DEPARTMENT OF JUVENILE JUSTICE POLICY AND PROCEDURES REFERENCES: 3-JCRF-1C-04 1-JDTP-1C-08 1-JBC-1C-07 3-JTS-1C-07-1 3-JDF-1C-05-1 4-JCF-3A-02; 6D-06 2-CO-1C-11 JPAS 2-7032-1

CHAPTER: Administration	AUTHORITY: KRS 15A.065
SUBJECT: Sexual Harassment and Anti-Harassment	
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EFFECTIVE DATE: 12/01/2014	EFFECTIVE DATE
APPROVAL: Bob D. Hayter	,COMMISSIONER

I. POLICY

Any form of harassment shall not be tolerated by the Department of Juvenile Justice (DJJ). DJJ shall prohibit threatening, offensive, or unwelcome conduct at work and when staff is representing DJJ.

II. APPLICABILITY

This policy shall be applicable to DJJ staff, volunteers, interns, consultants, and contract personnel doing business with DJJ.

III. DEFINITIONS

Refer to Chapter 100.

IV. PROCEDURES

- A. Upon employment, DJJ staff shall be assigned the new staff training modules regarding sexual harassment. This training shall be completed within the first thirty (30) days of employment and advises staff to avoid offensive or inappropriate conduct or sexually harassing behavior at work.
- B. Contract personnel, interns, consultants, and volunteers shall receive harassment training and a copy of any applicable policies, these actions shall be documented.
- C. Questions about offensive or inappropriate behavior shall be referred to the designated Equal Employment Opportunities (EEO) Counselor for an office, facility, or the Department EEO Coordinator.
- D. Complaints of sexual harassment shall be investigated in a prompt, careful manner. Appropriate action shall be taken to ensure that any harassment shall not recur.
- E. In addition to the conduct prohibited by 29 C.F.R. § 1604.11 other prohibited behavior shall include:

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- 1. Lewd or sexual comments;
- 2. Sexual innuendo, including embarrassing comments or terminology;
- 3. Vulgar or indecent gestures, language, or jokes;
- 4. Bringing or displaying a sexually suggestive object, book, magazine, photograph, cartoon, calendar, or picture in the workplace;
- 5. Use of the computer to transmit, solicit, display, or download an obscene message or material; or
- 6. Threatening, demeaning, or offensive conduct directed toward an individual.
- F. All persons believed to have been subjected to harassment or other prohibited behavior, the following steps shall be taken:
 - 1. The person shall make it clear to the harasser that the behavior is considered threatening, offensive, or unwelcome. This notification shall be done using one of the following methods:
 - a. Verbally notify the harasser of the offensive behavior. This shall be done in front of another employee, if possible;
 - b. Provide written notice to the harasser of the offensive behavior; or
 - c. Contact the EEO Counselor within the organizational unit, or the Department EEO Coordinator. The EEO Counselor or Department EEO Coordinator will then contact the alleged harasser and provide notification of the offensive behavior.
 - 2. If after the notification the behavior continues, the person shall report the continued conduct to the EEO Counselor, Department EEO Coordinator, the Branch Manager of Personnel.
 - 3. The required notification shall not prohibit a person from reporting the alleged offensive behavior to their supervisor.
 - 4. Upon report of the offensive behavior, the person may be asked to put the complaint in writing. If a written complaint is requested, it shall include:
 - a. A description of the events that occurred, including the date, time, and place of the occurrence; and
 - b. The name of any witness who was present or may have seen or heard the incident.
 - 5. If another person member other than the EEO Counselor or Department EEO Coordinator receives a complaint of harassment, they shall immediately contact the EEO Counselor or the Department EEO Coordinator.
 - 6. Any supervisor receiving a complaint of harassment shall report the complaint to the EEO Coordinator. Failure to do so shall be grounds for disciplinary action.

- G. The EEO Counselor shall, upon receipt of a complaint or report of alleged harassment or other prohibited behavior, put the specifics of the complaint in writing. The written documentation, including any action taken, shall be provided to the Department EEO Coordinator and the Branch Manager of Personnel within three (3) business days of the receipt of the complaint or report.
- H. Investigation of a complaint shall occur as follows:
 - 1. The Department EEO Coordinator shall review all written documentation received. The responsibilities of the Department EEO Coordinator are outlined in the Commonwealth of Kentucky Affirmative Action Plan (AA Plan) which is promulgated by the Personnel Cabinet. This plan can be found on either the DJJ Portal or Personnel Cabinet website (https://personnel.ky.gov/Pages/AffirmativeAction.aspx).
 - 2. The Commissioner shall make the determination whether the complaint should be referred to the regional or institutional level or to the Justice and Public Safety Internal Investigation Branch (IIB) for investigation. If it is determined that the complaint should be referred for investigation, the Department EEO Coordinator shall notify the Branch Manager of Personnel, Office of Legal Counsel, and the Deputy Commissioners and provide each with all relevant documentation.
 - 3. The Department EEO Coordinator, Branch Manager of Personnel, the Office of Legal Counsel, and the appropriate Deputy Commissioner shall meet to determine whether to separate the complainant and the alleged harasser.
 - 4. The complainant, the alleged harasser, and any witness may be interviewed during the course of the investigation. The alleged harasser and any witness may be asked to submit a written statement.

I. Final Action

- 1. Appropriate action, which may include disciplinary action up to and including dismissal, shall be taken based upon the findings of the investigation.
- 2. If the findings are inconclusive but the investigation reveals potentially problematic conduct, preventative action shall be taken which may include permanent re-assignment or additional training.
- 3. The victim of harassment shall be referred to appropriate resources, if necessary, to receive help in dealing with the effects of the harassment.
- J. The privacy of the complainant and the accused harasser shall be protected to the fullest extent permitted by the circumstances. An individual interviewed in the course of resolving the complaint shall be

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- directed by the interviewer to treat the information as confidential. Breach of this confidentiality may be grounds for disciplinary action.
- K. Retaliation by or against any party involved in a complaint shall be prohibited and may be grounds for disciplinary action, up to and including dismissal.
- L. If a false complaint is filed or if any person provided false information during the course of the investigation, this may be grounds for disciplinary action.
- M. All persons who disrupts the workplace by spreading rumors about others or encourages hostility by making false or malicious statements concerning another person may be subject to disciplinary action.

V. MONITORING MECHANISM

Monitoring shall be the responsibility of the Deputy Commissioners, the Branch Manager of Personnel, and the Department EEO Coordinator. Supervisors shall monitor that harassment training has occurred for all persons.